

CMC MARKETS TIERED WEIGHT SHARE BASKET METHODOLOGY

SHARE BASKET DESCRIPTION

1. Definitions:

“**CMC Markets**” means CMC Markets UK plc, CMC Spreadbet plc and CMC Markets Germany GmbH (registered number 02448409, 02589529 and HRB 114199 respectively);

“**Divisor Adjustment**” means an amendment to the Share Basket Divisor as a result of a Share Basket Disruption Event;

“**Initial Share Basket Value**” means the Share Basket Price on the Share Basket Launch Date calculated in accordance with section 3;

“**Outgoing Component**” means the affected Share Basket Component, which is substituted with another Share Basket Component as a result of a Substitution Event;

“**Price Adjustment**” means an adjustment to the price of the Share Basket Component and consequently to the Share Basket Price as a result of a Share Basket Disruption Event;

“**Qualifying Component**” means a component voted for inclusion within the Share Basket by the Share Basket Review Panel;

“**Rebalancing Date**” means the first Trading Day of the month following a Review Date;

“**Review Date**” means the third Friday of March, June, September or December of each year starting in 2019 or any other date as the Share Basket Review Panel may determine in its sole discretion;

“**Share Basket**” means the CMC Markets share basket;

“**Share Basket Component**” means one of the individual shares used to construct the Share Basket Price;

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

“Share Basket Disruption Event” means any event such as, but not limited to, an exchange holiday that results in the suspension of pricing or a change to the contract specification of any of the Share Basket Components;

“Share Basket Divisor” means the divisor used to set the Initial Share Basket Value at a starting base level;

“Share Basket Launch Date”, means the date on which the Share Basket first begins pricing and from when the base value begins. See “Further Information” for more information on the base value;

“Share Basket Price” means the price of the Share Basket;

“Share Basket Rebalancing” means the adjustment made to a Share Basket by the Share Basket Review Panel following a Share Basket Review Panel meeting.

“Share Basket Review Panel” means the committee that reviews the Share Basket composition at least on the Review Date or at any other time and which is composed of at least three members of CMC Markets to be nominated and replaced from time to time by the Group Head of Trading of CMC Markets in London, 133 Houndsditch, London, EC3A 7BX;

“Substitution Event” means the replacement of a Share Basket Component as a result of a Share Basket Disruption Event;

“Trading Day” means any day that CMC Markets is open that is also a trading day on all the relevant exchanges for the Share Basket Components other than a day on which trading on any such relevant exchange is scheduled to close prior to its regular weekday closing time; and

“Unit Adjustment” means an amendment to the number of units held by the Share Basket Component as a result of a Share Basket Disruption Event.

2. Initial Share Basket Composition and Minimum Number of Share Basket Components

On the Share Basket Launch Date, each Share Basket will initially comprise of Share Basket Components in tables 7.1 to 7.17 that are deemed suitable for inclusion by the Share Basket Review Panel. Each Share Baskets will have up to two tiers of weighting.

With a target Initial Share Basket Value of 20,000,000 in a single underlying share currency (USD, CAD, EUR, GBP), the calculated weights will be used to assign a proportion of the Initial Share Basket Value to each Share Basket Component. This will then determine a number of units per Share Basket Component using the closing prices on the day of the Share Basket Launch Date.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Each Share Basket will initially and at each Share Basket Rebalancing, comprise of the number of Share Basket Components listed in tables 7.1 to 7.17 (section 7), but may be subject to review if there are less than the number of Share Basket Components listed in each table available, due to a Share Basket Disruption Event.

Between a Share Basket Rebalancing, a Share Basket Component can be deleted from the Share Basket due to Share Basket Disruption Events such as, but not limited to, insolvency/suspensions.

3. Initial Share Basket Value and Calculation Methodology

The value of a Share Basket will have an Initial Share Basket Value of 20,000,000 in underlying share currency (as calculated below).

$$IV_{(0)} = \sum_{i=1}^n X_{(i,0)} * P_{(i,0)}$$

Where:

$IV_{(0)}$ = Initial Share Basket Value on Trading Day $t=0$

$X_{(i,0)}$ = Number of units of component i in the Share Basket after cap and floor rules have been applied

$P_{(i,0)}$ = Price of component i in the Share Basket on Trading Day $t=0$

n = Number of components in the Share Basket on Trading Day $t=0$

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

The Share Basket Price will then be calculated using the following formula:

$$IP_{(s)} = \frac{\sum_{i=1}^n X_{(i,t)} * P_{(i,s)}}{D_{(t)}}$$

Where:

$IP_{(s)}$ = Current Share Basket Price at time s

$P_{(i,s)}$ = Last published CMC Markets bid or ask price of component i at time s

n = Number of components in the Share Basket on Trading Day t

$X_{(i,t)}$ = Number of units of component i in the Share Basket on Trading Day t after cap and floor rules have been applied

$D_{(t)}$ = Share Basket Divisor on Trading Day t

If a change in value of the Share Basket is expected due to a non-market driven event in relation to any of the Share Basket Components, then a new Share Basket Divisor will be calculated based on the close price, of each Share Basket Component, on the day before the expected event to maintain consistency of the Share Basket Price.

4. Share Basket Disruption Event

If the Share Basket Review Panel determines that a Share Basket Disruption Event has occurred, the Share Basket Review Panel will decide whether to remove or continue to include the Share Basket Component within the Share Basket. Certain Share Basket Disruption Events may be classified as corporate action events and in such cases specific action may be taken, at the discretion of the Share Basket Review Panel, to amend the Share Basket. Corporate action events and possible actions are detailed below. In cases not involving a corporate action event a new Share Basket Divisor will be calculated using the prices of all Share Basket Components at the time of the last price published before the Share Basket Disruption Event of the affected Share Basket Component.

Corporate Actions

Corporate action events may result in either a Price Adjustment, a Unit Adjustment, and/or a Divisor Adjustment.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

The following corporate action events and likely corresponding adjustment treatment are specified below:

	Corporate Action Event	Corporate Action Description	Adjustment Treatment
1	Dividend	Ordinary Cash Dividend	Price Adjustment
2	Dividend	Special Cash Dividend	Price Adjustment
3	Dividend	Optional Dividend/DRIP/SCRIP	Price Adjustment
4	Dividend	Bonus Issue/Stock Dividend	Unit Adjustment & Divisor Adjustment
5	Capital Change	Stock Split/Subdivision	Unit Adjustment
6	Capital Change	Reverse Stock Split/Consolidation	Unit Adjustment
7	Capital Change	Share Redenomination	Divisor Adjustment
8	Merger & Acquisition	Merger	Divisor Adjustment
9	Merger & Acquisition	Acquisition/Takeover	Divisor Adjustment
10	Merger & Acquisition	De-merger/Spin-Off	Divisor Adjustment
11	Exceptional Market Condition	Bankruptcy/Suspension	Divisor Adjustment
12	Exceptional Market Condition	Change of Listing	Divisor Adjustment
13	Exceptional Market Condition	Share Conversion	Divisor Adjustment
14	Capital Increase	Rights Issue	Divisor Adjustment
15	Capital Increase	Capital Return/Capital Repayment	Price Adjustment
16	Capital Increase	Share Buyback/Tender Offer	N/A

Treatment Specifications

i. Ordinary Cash Dividends (Price Adjustment)

Dividends are cash payments made to shareholders out of a corporation's profits. Typically, corporations will make regular periodic dividend payments throughout the financial year (quarterly, semi-annually or annually) and will therefore be classed as "**Ordinary Cash Dividends**".

Share Basket treatment:

Any Ordinary Cash Dividend events will be treated as a Price Adjustment within the Share Basket.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

On ex-date the share price of the Share Basket Component will decrease by the approximate value of the gross Ordinary Cash Dividend. This will in turn result in an equivalent adjustment to the overall Share Basket Price based on the weighted average of the Share Basket Component.

A cash adjustment will then be made to the holder of the Share Basket for the net Ordinary Cash Dividend value.

ii. Special Cash Dividend (Price Adjustment)

“**Special Cash Dividends**” are dividends that are paid outside of the regular periodic dividend payments of a corporation. These are typically one-off payments that are derived from excess funds within a corporation, such as profit or the sale of assets.

Share Basket treatment:

Any Special Cash Dividend events will be treated as a Price Adjustment within the Share Basket. On ex-date the share price of the Share Basket Component will decrease by the approximate value of the gross Special Cash Dividend value. This will in turn result in an equivalent adjustment to the Share Basket Price based on the weighted average of the Share Basket Component. A cash adjustment will then be made to the holder of the Share Basket for the net Special Cash Dividend value.

iii. DRIPs & SCRIPs (Optional Dividends) (Price Adjustment)

Dividend Reinvestment Plans (“**DRIPs**”) and SCRIP Dividend Schemes (“**SCRIPs**”) are optional dividend events that allow shareholders to choose between receiving their Ordinary Cash Dividends in the form of a cash dividend payment or alternatively re-investing the cash dividend back into shares in the corporation from which the dividend originated, with the shareholders then receiving new shares in place of the cash dividend payment.

Share Basket treatment:

Any Optional Dividend Event will be treated as an Ordinary Cash Dividend Event and will therefore result in a Price Adjustment within the Share Basket.

On ex-date the share price of the Share Basket Component will decrease by the approximate value of the gross dividend value. This will in turn result in an equivalent adjustment to the Share Basket Price based on the weighted average of the Share Basket Component. A cash adjustment will then be made to the holder of the Share Basket for the net dividend value.

iv. Bonus Issue/Stock Dividend (Unit Adjustment & Divisor Adjustment)

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

A “**Bonus Issue**” is a distribution of shares to shareholders in place of a cash dividend. These distributions are typically in the same line of shares a shareholder currently holds but sometimes can be in a new cash redeemable line.

Share Basket treatment:

In instances where a redeemable line is received, the Bonus Issue will be treated as an Ordinary Cash Dividend. In all other instances an ex-date adjustment will be made to the number of units that the Share Basket Component represents by the ratio provided in the terms of the Bonus Issue. This will have an effect of offsetting the decrease in value of the Share Basket Component and will require a Divisor Adjustment to maintain the Share Basket Price.

A “**Stock Dividend**” is similar to a Bonus Issue and will receive the same treatment.

v. Stock Split/Subdivision (Unit Adjustment)

A “**Stock Split/Subdivision**” is an increase in the number of shares outstanding and a reduction in the share price both by the same multiplier, therefore maintaining the same market capital.

Share Basket treatment:

On ex-date the number of units of the Share Basket Component will increase by the specified multiplier, which will offset the decrease in the constituent share price. This will result in no change to the Share Basket Price.

vi. Reverse Split/Consolidation (Unit Adjustment)

A “**Reverse Split/Consolidation**” is a reduction in the number of shares outstanding and an increase in the share price both by the same multiplier, therefore maintaining the same market capital.

Share Basket treatment:

On ex-date the number of units of the Share Basket Component will decrease by the specified multiplier, which will offset the increase in the constituent share price. This will result in no change to the Share Basket Price.

vii. Share Redenomination (Divisor Adjustment)

A “**Share Redenomination**” is a change in the underlying currency of a share.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Share Basket treatment:

In a Share Redenomination, the Share Basket Component will be removed from the Share Baskets at the last available price. The Share Basket Divisor will then be adjusted to maintain the Share Basket Price.

viii. Mergers (Divisor Adjustment)

A “**Merger**” is when two companies merge to form one new company, with the two original companies ceasing to exist as separate entities.

Share Basket treatment:

On the effective date of the Merger the existing companies will be removed from the Share Basket at the last quoted price. The new company will then be added. The number of units of the new company and the entry price will be dependent on the ratio of old to new across both existing lines, for example:

Company A merges with Company B to create Company C
Company A consists of 100,000 units trading at USD 10.00
Company B consists of 100,000 units trading at USD 5.00
Ratio of company A to company C is 0.66 for 1
Ratio of company B to company C is 0.33 for 1
Company C consists of 100,000 units trading at USD 15.00

If both Company A and Company B are existing Share Basket Components of the Share Basket, then there will be no change to the Share Basket Divisor as the total market capital of the combined company will remain the same.

If only one of the companies is an existing constituent of the Share Basket, then either:

- the existing constituent will be removed at the last quoted price and the Share Basket Divisor adjusted to maintain the Share Basket Price; or
- the existing constituent will be removed at the last quoted price and the new company will be added at the calculated price based on both constituents; the Share Basket Divisor will then be adjusted to maintain the existing Share Basket Price (required due to the increase in market capital of the new company).

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

ix. Acquisitions/Takeover (Divisor Adjustment)

An “**Acquisition/Takeover**” is when a company (acquirer) buys the entire outstanding share capital of another company (target). The terms of the takeover can be 100% cash, in which case existing shareholders of the target company will receive a cash payment in place of their shareholding, or 100% stock in which case existing shareholders will receive new shares in the acquirer in place of their shareholding. It can also be a mix of both cash and stock.

Share Basket treatment:

If the Acquisition is 100% cash, then the target constituent will be removed at the cash offer price. The Share Basket Divisor will then be adjusted to maintain the Share Basket Price.

If the Acquisition is 100% stock, then the target constituent will be removed at the equivalent value of a new share in the acquirer based on the terms of the offer.

If the acquirer is already a Share Basket Component, then the number of units of the acquirer will increase by the ratio of new shares given to the target shareholders. The Share Basket Divisor will then be adjusted to maintain the Share Basket Price.

If the acquirer is not already a constituent of the Share Basket, then it may be added to the Share Basket at the last quoted price and the Share Basket Divisor will be adjusted to maintain the Share Basket Price.

If it is decided that the acquirer is not to be added to the Share Basket, then the Share Basket Divisor will be adjusted to maintain the Share Basket Price.

If the Acquisition is part stock and part cash, then the target will be removed at the equivalent value of both the cash and stock terms. The acquirer will then be added at the last quoted price if not already a Share Basket Component. The Share Basket Divisor will then be adjusted to maintain the Share Basket Price.

x. Demerger/Spin-Off (Divisor Adjustment)

A “**Demerger/Spin-Off**” involves a company separating part of its business to become a standalone entity.

Share Basket treatment:

In the case of a Demerger/Spin-Off the price of the original Share Basket Component will decrease by the value of the demerged/spun-off company on the effective date. The demerged/spun-off line will then be added to the Share Basket at the theoretical value based on the terms of the Demerger/Spin-off. No Divisor Adjustment is required as the combined market capital of both the original constituent and the new constituent should equal the pre-adjusted market capital.

If it is decided that the demerged/spun-off line will not be added to the basket, then the Share Basket Divisor will be adjusted to maintain the Share Basket Price.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

xi. Bankruptcy/Suspension (Divisor Adjustment)

Companies can be suspended from trading for a number of reasons, but typically they occur when there are serious concerns about a company's assets or operations. These suspensions can sometimes lead to long-term suspension and/or bankruptcy proceedings.

Share Basket treatment:

If a Share Basket Component is suspended, then the entire Share Basket will be suspended until the reason for the suspension can be established. If the reason cannot be established within a reasonable timeframe (approx. 5 working days) or if the company is deemed to be entering a long-term suspension or bankruptcy proceedings, then the suspended Share Basket Component will be removed from the Share Basket at the last quoted price. An equity position in the suspended constituent will be opened alongside the Share Basket for the equivalent value of the exposure of the relevant constituent within the Share Basket based on the weighted average. The client's position size of the Share Basket will reduce to reflect the exposure increase caused by the new equity position, for example:

A client holds a position of 10 units in a Share Basket trading at USD 2,000.00 (total exposure USD 20,000.00)

A constituent within the basket with a 20% weighting is suspended and subsequently removed from the basket, this constituent equating to an exposure of USD 4,000.00 $((10 \times 2,000.00) * 0.20)$. Therefore a new position is opened in the underlying equity at the last quoted price for a total exposure of USD 4,000.00. The Share Basket index position is then reduced to 8 units at USD 2,000.00 (total exposure USD 16,000.00).

The new equity position will receive the margin rates of the underlying instrument as already set by CMC Markets. In the case of bankruptcy proceedings CMC Markets will use reasonable endeavours to give clients 24 hours' notice that the margin will increase to 100%. In these cases both the basket and equity position will remain suspended until the process and margin increase is complete.

xii. Change of Listing (Divisor Adjustment)

A "Change of Listing" refers to a company moving from one exchange to another.

Share Basket treatment:

In these instances it will be determined by the Share Basket Review Panel whether or not the company can remain a Share Basket Component. If it is determined that the constituent must be

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

removed, then this will be completed at the last quoted price. The Share Basket Divisor will be adjusted and the constituents rebalanced to maintain the same Share Basket Price.

xiii. Share Conversion (Divisor Adjustment)

A “**Share Conversion**” involves exchanging one form of security for another, for example preferred stock to common stock.

Share Basket treatment:

If there is a mandatory Share Conversion, then the existing constituent will be removed at the last quoted price. If it is determined that the new line should be added to the Share Basket, then this will be done at the last available quoted price. There will be no adjustment to the Share Basket Divisor as the market capital will remain unchanged. If it is determined that the new line should not be added to the Share Basket, then the Share Basket Divisor will be adjusted and the weightings rebalanced in order to maintain the Share Basket Price.

xiv. Rights Issues

A “**Rights Issue**” is a capital raising event when existing shareholders are given a right to purchase an additional share in the existing company at a discount to the current market price.

Share Basket treatment:

On ex-date of the Rights Issue, the number of units in the Share Basket Component will increase by the terms of the rights issue, this will also result in a divisor adjustment as the market capital of the company will have increased. If the rights are determined to be ‘out of the money’ then no action will be taken.

xv. Capital Return/Capital Repayment (Price Adjustment)

A “**Capital Return/Capital Repayment**” is a payment of capital back to the shareholders. This is typically the result of the company having excess capital and is normally free from tax.

Share Basket treatment:

On the ex-date of Capital Return/Capital Repayments, the price of the Share Basket Component will decrease by the approximate value of the gross return/repayment. This will in turn result in an equivalent price reduction to the Share Basket Price based on the weighted average of the Share Basket Component. A cash adjustment will then be made to the holder of the Share Basket for the return/repayment amount (this is likely to be the gross value).

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

xvi. Share Buy-Back/Tender Offer

A “**Share Buy-Back/Tender Offer**” involves the company repurchasing its own shares from shareholders. Share Buy-Backs are usually mandatory whereas Tender Offers tend to be voluntary with shareholders electing to sell their holding back to the company. Both are typically at a pre-determined price.

Share Basket treatment:

Share Buy-Backs/Tender Offers will have no impact on the Share Basket Component or Share Basket.

5. Quarterly Review of the Share Basket

Each Share Basket shall be reviewed by the Share Basket Review Panel on each Review Date. On the Review Dates, all Share Basket Components will be reweighted back to an equal weighting. Any Share Basket Component that breaches the cap or floor shall be reweighted as per the method on the Share Basket Launch Date and a new number of units per Share Basket Component calculated.

On the Rebalancing Date, a new Share Basket Divisor shall be calculated. The basis for the rebalancing shall be the respective prices of the Share Basket Components on the relevant Rebalancing Date whereby the value of the Share Basket after the Rebalancing Date shall be equal to the Share Basket Price before the Rebalancing Date.

If the Share Basket Review Panel determines that a Share Basket Disruption Event has occurred on any Rebalancing Date, the Rebalancing Date shall be postponed to the first succeeding Trading Day on which the Share Basket Review Panel determines that the Share Basket Disruption Event has ceased.

6. Share Basket Component Substitution

The Share Basket Review Panel will determine if each of the Share Basket Components still qualifies as a Qualifying Component at each Review Date. If a Substitution Event occurs, the Share Basket Review Panel shall substitute for such relevant Outgoing Component a new Share Basket Component with effect from the Rebalancing Date.

If no Qualifying Component exists, the weight of the Outgoing Component will be distributed proportionally among the remaining Share Basket Components.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.



The basis for the substitution shall be the respective prices of the Share Basket Components on the relevant Rebalancing Date.

7. Further Information

CMC Markets 5G Share Basket

General description:

The **CMC Markets 5G Share Basket** tracks the performance of an initial 22 shares with exposure to the 5G industry, chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Table 7.2

Component i	Initial weighting w(i,0)
Amazon.com	10.00
Apple	10.00
AT&T	10.00
Broadcom Ltd.	10.00
Cisco Systems	10.00
Intel	10.00
Verizon Communications Inc.	10.00
American Tower	2.00
Analog Devices	2.00
Charter Communications Inc.	2.00
Corning Inc.	2.00
Crown Castle International	2.00
Digital Realty Trust Inc.	2.00
Equinix Inc.	2.00
Hewlett-Packard Enterprise	2.00
Qualcomm	2.00
SBA Communications Corp	2.00
Skyworks Solutions Inc.	2.00
Sprint Corp	2.00
T-Mobile USA Inc.	2.00
VMware Inc.	2.00
Xilinx	2.00

CMC Markets Big Tech Share Basket

General description:

The **CMC Markets Big Tech Share Basket** tracks the performance of an initial 20 shares with exposures to the technology industry, chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Share Basket Components on Share Basket Launch Date:

Table 7.3

Component i	Initial weighting w(i,0)
Alphabet Inc	9.00
Apple Inc	9.00
Facebook Inc	9.00
Microsoft Corp	9.00
Adobe Inc	4.00
Advanced Micro Devices Inc	4.00
Applied Materials Inc	4.00
Broadcom Inc	4.00
Cisco Systems Inc	4.00
Intel Corp	4.00
International Business Machines Corp	4.00
Intuit Inc	4.00
Micron Technology Inc	4.00
NVIDIA Corp	4.00
Oracle Corp	4.00
Qualcomm Inc	4.00
Salesforce.Com Inc	4.00
ServiceNow Inc	4.00
Texas Instruments Inc	4.00
VMware Inc	4.00

CMC Markets Cyber Security Share Basket

General description:

The **CMC Markets Cyber Security Share Basket** tracks the performance of an initial 34 shares with exposure to the cyber security industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.

The Initial Share Basket Value was USD \$20,000,000.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Share Basket Components on Share Basket Launch Date:

Table 7.4

Component i	Initial weighting w(i,0)
Cisco Systems	17.50
A10 Networks Inc	2.50
Akamai Tech	2.50
Booz Allen Hamilton Holding Co	2.50
CACI International Inc	2.50
CommVault Systems Inc	2.50
CrowdStrike Holdings, Inc.	2.50
Everbridge, Inc.	2.50
F5 Networks Inc	2.50
Fireeye Inc.	2.50
ForeScout Technologies Inc	2.50
Fortinet Inc	2.50
Juniper Networks	2.50
Leidos Holdings Inc	2.50
ManTech International Corp/VA	2.50
MobileIron Inc	2.50
Netscout Systems Inc	2.50
NortonLifeLock INC	2.50
Okta, Inc.	2.50
OneSpan Inc	2.50
Palo Alto Networks Inc	2.50
Parsons Corporation	2.50
Proofpoint Inc	2.50
Qualys Inc	2.50
Rapid7 Inc	2.50
SailPoint Technologies Holdings, Inc.	2.50
Science Applications International Corp	2.50

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

SecureWorks Corp	2.50
Splunk Inc	2.50
Tenable Holdings Inc	2.50
Verint Systems Inc	2.50
Verisign	2.50
Zix Corp	2.50
Zscaler Inc	2.50

CMC Markets Mobile Payments Share Basket

General description:

The **CMC Markets Mobile Payments Share Basket** tracks the performance of an initial 22 shares with exposure to the mobile payments industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.5

Component i	Initial weighting w(i,0)
Alphabet Inc - Class A	10.00
Amazon.com	10.00
American Express	10.00
Apple	10.00
MasterCard	10.00
PayPal Inc	10.00
Visa	10.00
ACI Worldwide Inc	2.00
Discover Financial Services	2.00
Euronet Worldwide Inc	2.00
EVO Payments Inc	2.00
Fidelity National Information Services	2.00
Fiserv	2.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

FleetCor Technologies Inc	2.00
Global Payments Inc	2.00
Green Dot Corp	2.00
i3 Verticals Inc	2.00
NCR	2.00
Paysign Inc.	2.00
Square Inc	2.00
Western Union	2.00
WEX Inc	2.00

CMC Markets Driverless Cars Share Basket

General description:

The **CMC Markets Driverless Cars Share Basket** tracks the performance of an initial 28 shares with exposure to Driverless Cars chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.6

Component i	Initial weighting w(i,0)
Alphabet Inc - Class A	9.00
Apple	9.00
Ford Motor	9.00
General Motors	9.00
Intel	9.00
NVIDIA	9.00
Qualcomm	9.00
Tesla Motors Inc	9.00
Uber Technologies	9.00
Albemarle Corp	1.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

AMETEK Inc	1.00
BorgWarner	1.00
CTS Corp	1.00
Cypress Semiconductor Corp	1.00
Dana Holding Corp	1.00
FMC	1.00
Gentex Corp/MI	1.00
Gentherm Inc	1.00
Icahn Enterprises LP	1.00
Lear Corp	1.00
Livent Corporation	1.00
Maxim Integrated	1.00
Methode Electronics Inc	1.00
Microchip Tech	1.00
ON Semiconductor Corp	1.00
Stoneridge Inc	1.00
Vishay Intertechnology Inc	1.00
Visteon Corp	1.00

CMC Markets Gaming Share Basket

General description:

The **CMC Markets Gaming Share Basket** tracks the performance of an initial 10 shares with exposure to gaming chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.

The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.7

Component i	Initial weighting w(i,0)
Activision	10.00
Advanced Micro Devices	10.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Alphabet Inc - Class A	10.00
Apple	10.00
Electronic Arts	10.00
Glu Mobile Inc	10.00
Microsoft	10.00
NVIDIA	10.00
Take-Two Interactive Software	10.00
Zynga	10.00

CMC Markets US Banks Share Basket

General description:

The **CMC Markets US Banks Share Basket** tracks the performance of an initial 20 shares with exposure to US Banks chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.8

Component i	Initial weighting w(i,0)
Bank of America Corp	8.00
Citigroup Inc	8.00
Goldman Sachs	8.00
JPMorgan Chase & Co	8.00
Wells Fargo & Co	8.00
Citizens Financial Group Inc	4.00
Comerica Inc	4.00
Commerce Bancshares Inc/MO	4.00
Fifth Third Bancorp	4.00
First Republic Bank	4.00
Huntington Bancshares Inc	4.00
KeyCorp	4.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

M&T Bank Corp	4.00
People's United Financial Inc	4.00
PNC Financial Services Group Inc	4.00
Regions Financial Corp	4.00
SVB Financial Group	4.00
BB&T Corp	4.00
U.S. Bancorp	4.00
Zions Bancorporation NA	4.00

CMC Markets Renewable Energy Share Basket

General description:

The **CMC Markets Renewable Energy Share Basket** tracks the performance of an initial 19 shares with exposure to renewable energy chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.9

Component i	Initial weighting w(i,0)
Enphase Energy Inc	12.00
First Solar	12.00
TerraForm Power Inc	12.00
Avista Corp	4.00
BWX Technologies Inc	4.00
Hannon Armstrong Sustainable Infrastructure Capital Inc	4.00
Hawaiian Electric Industries I	4.00
IDACORP Inc	4.00
MKS Instruments Inc	4.00
NextEra Energy Partners LP	4.00
NorthWestern Corp	4.00
Ormat Technologies Inc	4.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Pattern Energy Group Inc	4.00
Plug Power Inc	4.00
Renewable Energy Group Inc	4.00
SunPower Corp	4.00
Sunrun Inc	4.00
TPI Composites Inc	4.00
Vivint Solar Inc	4.00

CMC Markets China Tech Share Basket

General description:

The **CMC Markets China Tech Share Basket** tracks the performance of an initial 10 shares with exposure to China technology chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.

The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.10

Component i	Initial weighting w(i,0)
Alibaba	20.00
Baidu Inc	20.00
58.com	7.50
Autohome	7.50
Ctrip.com	7.50
iQIYI	7.50
JD.com group	7.50
Netease	7.50
TAL education	7.50
Weibo	7.50

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

CMC Markets Streaming Media Share Basket

General description:

The **CMC Markets Streaming Share Basket** tracks the performance of an initial 10 shares with exposure to the streaming industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.11

Component i	Initial weighting w(i,0)
Alphabet Inc - Class A	15.00
Amazon	15.00
Apple	15.00
Disney	15.00
Netflix	15.00
Roku	15.00
AT&T	2.50
Comcast	2.50
Fox Corp	2.50
ViacomCBS	2.50

CMC Markets Social Media Share Basket

General description:

The **CMC Markets Social Media Share Basket** tracks the performance of an initial 12 shares with exposure to the social media industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.12

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Component i	Initial weighting w(i,0)
Alphabet Inc - Class A	10.00
Facebook	10.00
Interactivecorp	10.00
Match Group Inc	10.00
Pinterest, Inc.	10.00
Snap Inc	10.00
Twitter Inc	10.00
Glu Mobile Inc	6.00
Groupon	6.00
MeetMe Inc	6.00
Yelp	6.00
Zynga	6.00

CMC Markets SaaS Basket

General description:

The **CMC Markets SaaS Basket** tracks the performance of an initial 50 shares with exposure to the software service industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.13

Component i	Initial weighting w(i,0)
Activision	2.00
Adobe Systems	2.00
Alteryx, Inc	2.00
Anaplan Inc	2.00
ANSYS Inc	2.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Aspen Technology Inc	2.00
Autodesk	2.00
Automatic Data Processing Inc	2.00
Black Knight Inc	2.00
Booz Allen Hamilton Holding Co	2.00
Broadridge Financial Solutions	2.00
Cadence Design	2.00
Ceridian HCM Holding Inc	2.00
Citrix Systems	2.00
Cognizant Tech	2.00
Coupa Software	2.00
CrowdStrike Holdings, Inc.	2.00
DocuSign Inc	2.00
Dropbox Inc.	2.00
Dynatrace Inc.	2.00
Electronic Arts	2.00
EPAM Systems Inc	2.00
Euronet Worldwide Inc	2.00
Fair Isaac Corp	2.00
Fidelity National Information Services	2.00
Fortinet Inc	2.00
Global Payments Inc	2.00
Guidewire Software Inc	2.00
HubSpot Inc	2.00
Intuit	2.00
Leidos Holdings Inc	2.00
MasterCard	2.00
Microsoft	2.00
Palo Alto Networks Inc	2.00
Paycom Software Inc	2.00
RingCentral Inc	2.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Salesforce.com	2.00
ServiceNow Inc	2.00
Slack Technologies, Inc.	2.00
Splunk Inc	2.00
SS&C Technologies Holdings Inc	2.00
Synopsys Inc	2.00
Trade Desk Inc.	2.00
Tyler Technologies Inc	2.00
Visa	2.00
WEX Inc	2.00
Workday Inc	2.00
Zendesk Inc	2.00
Zoom Video Communications, Inc.	2.00
Zscaler Inc	2.00

CMC Markets Automation & Robotics Share Basket

General description:

The **CMC Markets Automation & Robotics Share Basket** tracks the performance of an initial 15 shares with exposure to the automation & robotics industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.14

Component i	Initial weighting w(i,0)
Intuitive Surgical	14.00
NVIDIA	14.00
Qualcomm	14.00
ServiceNow Inc	14.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

Autodesk	4.00
Cadence Design	4.00
Deere	4.00
Illumina	4.00
Microchip Tech	4.00
Rockwell Automat	4.00
Teledyne Technologies Inc	4.00
Teradyne	4.00
Varian Medical	4.00
Xilinx	4.00
Zebra Technologies Corp	4.00

CMC Markets UK Banks Share Basket

General description:

The **CMC Markets UK Banks Share Basket** tracks the performance of an initial 7 shares with exposure to the UK banking industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was GBP £20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.15

Component i	Initial weighting w(i,0)
Barclays	16.00
HSBC	16.00
Lloyds	16.00
RBS	16.00
Standard Chartered	16.00
Close Brothers Group	10.00
Virgin Money UK	10.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

CMC Markets EU Banks Share Basket

General description:

The **CMC Markets EU Banks Share Basket** tracks the performance of an initial 14 shares with exposure to the EU banking industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was EUR €20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.16

Component i	Initial weighting w(i,0)
Santander	11.00
Banco Bilbao Vizcaya Argentaria	11.00
BNP Paribas	11.00
ING Groep	11.00
Societe Generale	11.00
Banco de Sabadell SA	5.00
Bankia SA	5.00
Bankinter SA	5.00
Caixabank	5.00
Commerzbank	5.00
Credit Agricole	5.00
Deutsche Bank	5.00
Natixis	5.00
Banco Comercial Portugues SA	5.00

CMC Markets European Cars Share Basket

General description:

The **CMC Markets European Cars Share Basket** tracks the performance of an initial 10 shares with exposure to the European automobile industry chosen by the Share Basket Review Panel.

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.

The Share Basket was created with a base level of 4,000 as of 31 December 2019.
The Initial Share Basket Value was EUR €20,000,000.

Share Basket Components on Share Basket Launch Date:

Table 7.17

Component i	Initial weighting w(i,0)
Bayerische Motoren Werke AG	17.00
Daimler AG	17.00
Volkswagen AG	17.00
Compagnie Generale des Etablissements Michelin SCA	7.00
Continental AG	7.00
Faurecia SE	7.00
Peugeot SA	7.00
Porsche Automobil Holding SE	7.00
Renault SA	7.00
Valeo SA	7.00

CMC Markets UK plc is authorised and regulated by the Financial Conduct Authority, registration no. 173730. Registered Office as above. Registered in England and Wales No. 2448409

CMC Spreadbet plc is authorised and regulated by the Financial Conduct Authority, registration no. 170627. Registered office as above. Registered in England and Wales No. 2589529.

CMC Markets Germany GmbH is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), registration no. 154814. Registered office at Garden Tower, Neue Mainzer Str. 46-50, 60311 Frankfurt am Main, Germany. Registered at the local court of Frankfurt am Main under HRB 114199.



CMC Markets US Gold Share Basket

The **CMC Markets US Gold Share Basket** tracks the performance of an initial 15 shares with exposure to the United States gold industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 6,000 as of 30 April 2020.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Component	Initial weighting w(i,0)
Newmont Corporation	15.00
Barrick Gold Corp	15.00
Franco-Nevada Corp	15.00
Agnico Eagle Mines Ltd	8.00
AngloGold Ashanti Ltd	8.00
Kinross Gold Corp	6.00
Gold Fields Ltd	6.00
B2Gold Corp	6.00
Yamana Gold Inc	3.00
NovaGold Resources Inc	3.00
Alamos Gold Inc	3.00
Harmony Gold Mining Company Ltd	3.00
Iamgold Corp	3.00
Sandstorm Gold Ltd	3.00
Eldorado Gold Corp	3.00



CMC Markets Oil & Gas Share Basket

The **CMC Markets Oil & Gas Share Basket** tracks the performance of an initial 10 shares with exposure to the oil and gas industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 6,000 as of 30 April 2020.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Component	Initial weighting w(i,0)
Exxon Mobil Corp	10.0
Chevron Corp	10.0
ConocoPhillips	10.0
Kinder Morgan Inc	10.0
Phillips 66	10.0
EOG Resources Inc	10.0
Valero Energy Corp	10.0
Schlumberger NV	10.0
Baker Hughes Co	10.0
Pioneer Natural Resources Co	10.0

CMC Markets Luxury Lifestyle Share Basket

The **CMC Markets Luxury Lifestyle Share Basket** tracks the performance of an initial 12 shares with exposure to the luxury goods industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 6,000 as of 30 April 2020.
The Initial Share Basket Value was EUR €20,000,000.

Share Basket Components on Share Basket Launch Date:

Component	Initial weighting w(i,0)
LVMH Moet Hennessy Louis Vuitton SE	13.0
L'Oreal SA	13.0
Industria de Diseno Textil SA	13.0
Hermes International SCA	13.0
Christian Dior SE	13.0
Kering SA	5.0
Pernod Ricard SA	5.0
Bayerische Motoren Werke AG	5.0
Daimler AG	5.0
Porsche Automobil Holding SE	5.0
Remy Cointreau SA	5.0
Hugo Boss AG	5.0



CMC Markets Remote Lifestyle Share Basket

The **CMC Markets Remote Lifestyle Share Basket** tracks the performance of an initial 15 shares with exposure to services delivered to the home chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 6,000 as of 30 April 2020.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Component	Initial weighting w(i,0)
Amazon.com Inc	8.0
Netflix Inc	8.0
Facebook Inc	8.0
Twitter Inc	8.0
United Parcel Service Inc	8.0
Uber Technologies Inc	8.0
FedEx Corp	8.0
eBay Inc	6.0
Spotify Technology SA	6.0
Roku Inc	6.0
Alphabet	6.0
Domino's Pizza Inc	5.0
Peloton Interactive Inc	5.0
GrubHub Inc	5.0
Pinterest Inc	5.0



CMC Markets Collaborative Technology Share Basket

The **CMC Markets Collaborative Technology Share Basket** tracks the performance of an initial 10 shares with exposure to the collaborative technology industry chosen by the Share Basket Review Panel.

The Share Basket was created with a base level of 6,000 as of 30 April 2020.
The Initial Share Basket Value was USD \$20,000,000.

Share Basket Components on Share Basket Launch Date:

Component	Initial weighting $w(i,0)$
Microsoft Corp	15.0
Apple Inc	15.0
Alphabet Inc	15.0
Intel Corp	15.0
Adobe Inc	15.0
Zoom Video Communications Inc	5.0
DocuSign Inc	5.0
Okta Inc	5.0
Citrix Systems Inc	5.0
Slack Technologies Inc	5.0